



1FW

**PATENT**  
Attorney Docket No. INT02-003US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Holcombe, et al

Group Art Unit: 2631

Application No. 10/706,135

Examiner: Unassigned

Filed: November 12, 2003

**For: Transmitter and Receiver Circuits with Controller-Less Operation Capability**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is material, relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
- ☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
- or*
- ☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

#### Copies of the References

- ☐ Copies of the references listed on the enclosed Form 1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☒ The filing date of the subject application is after June 30, 2003. Therefore, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith in accordance with the U.S. Patent and Trademark Office's waiver of the requirement under 37 CFR 1.98 (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☒ A copy of the foreign search report is enclosed herewith.
- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

In re Appln. of Holcombe, et al  
Application No. 10/706,135

U.S. APPLICATIONS		Status ( <i>check one</i> )		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

**Statement under 37 CFR 1.97(e)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**Statement under 37 CFR 1.704(d)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

**Fees**

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

**Method of Payment of Fees**

- ☐ Attached is a check in the amount of \$ .
- ☐ Charge Deposit Account No. 503-594 in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

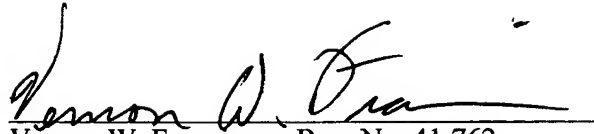
**Authorization to Charge Additional Fees**

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 503-594. (A duplicate copy of this communication is enclosed for that purpose.)

In re Appln. of Holcombe, et al  
Application No. 10/706,135

**Instructions as to Overpayment**

☒ Credit Account No. 503-594.  
☐ Refund



Vernon W. Francissen, Reg. No. 41,762  
FRANCISSEN PATENT LAW, P.C.  
53 W. Jackson Blvd., Suite 656  
Chicago, Illinois 60604  
(312) 294-9980 (telephone)  
(312) 275-8772 (facsimile)  
Customer No.: 54384

Date: January 19, 2006

**CERTIFICATE OF MAILING**

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: January 19, 2006

  
Elizabeth A. Williams

FORM PTO-14  
MODIFIEDU.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
INT02-003USSERIAL NO.  
10/706,135INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
Holcombe et alFILING DATE  
11/12/2003GROUP  
2631

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,076,099 A	June 13, 200	Chen et al			
	2002/075834 A1	June 20, 2002	Shah Tushar Ramanlal et al			
	2003/100301 A1	May 29, 2003	Fujii Satoru et al			
	2003/137966 A1	July 24, 2003	Odman Knut T. et al			
	2003/115371 A1	June 19, 2003	Kang You Sung et al			
	2002/174254 A1	Nov. 21, 2002	Kita Kazuhiro et al			
	2003/0922395A1	May 15, 2003	Gassho Kazuhito et al			
	2003/103464 A1	May 15, 2003	Wong Yoon Kean et al			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO*
	EP1,168,784A	January 2, 2002	EP				X
	WO03/053008A	June 26, 2003	WO				X
	EP1,458,137A	September 15, 2004	EP				X
	EP1, 035,741A	September 13, 2000	EP				X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Search Report – Application #EP04009938.4-2412, -Dated October 21, 2005, 2 pages

EXAMINER

DATE CONSIDERED

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Holcombe, et al

Art Unit: 2631

Application No. 10/706,135

Examiner: Unassigned

Filed: November 12, 2003

For: **Transmitter and Receiver Circuits with Controller-Less Operation Capability**

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

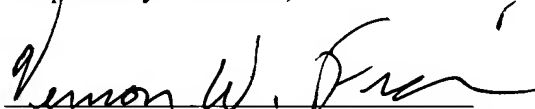
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant(s) submit the following to the address above:

- ☒ Information Disclosure Form in duplicate (4 sheets)
- ☒ Form 1449 (1 sheet)
- ☒ Foreign Search Report (6 sheets)
- ☒ Foreign Patent Documents
- ☒ Certificate of Mailing under 37 CFR § 1.8.

Respectfully submitted,



Vernon W. Francissen, Reg. No. 41,762  
One of the Attorneys for Applicant  
FRANCISSSEN PATENT LAW, P.C.  
53 W. Jackson Blvd., Suite #656  
Chicago, Illinois 60604  
(312)294-9980 telephone  
(312)275-8772 facsimile  
Customer No.: 54384

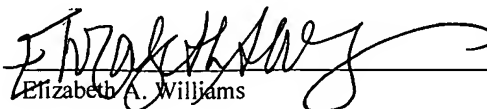
Date: January 19, 2006

---

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: January 19, 2006

  
Elizabeth A. Williams